OFFICIAL

Attorney Docket No.: 3932P023

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUL 29 2004

In re Patent Application for:

Debajit Ghosh et al.

Serial No.: 09/813,711

Filing Date: March 20, 2001

For: METHOD AND APPARATUS FOR IMPROVING HUMAN-MACHINE DIALOGS USING LANGUAGE MODELS LEARNED AUTOMATICALLY FROM PERSONALIZED DATA

Examiner: Lewis, Michael A.

Group Art Unit: 2655

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on:

July 29, 2004
(Date of Transmission)

Julie Arango
(Printed name)

Julie Arango 7/29/04
(Signature) (Date)

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION
UNDER 37 C.F.R.§ 1.116

Dear Sir:

In response to the Final Office Action mailed on July 19, 2004, please enter the following amendment under 37 C.F.R. § 1.116 and consider the remarks below:

PAGE 4/19 * RCVD AT 7/29/2004 1:59:44 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/2 * DNIS:8729306 * CSID:408 720 9397 * DURATION (mm-ss):10-26

FEE TRANSMITTAL for FY 2004

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT ($) 0.00

Complete if Known	
Application Number	09/813,711
Filing Date	March 20, 2001
First Named Inventor	Debajit Ghosh
Examiner Name	Lewis, Michael A.
Art Unit	2655
Attorney Docket No.	3932P023

METHOD OF PAYMENT *(check all that apply)*

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None

☐ Deposit Account

Deposit Account Number: 02-2666

Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: *(check all that apply)*

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) ($)

2. EXTRA CLAIM FEES

	Extra Claims		Fee from below		Fee Paid
Total Claims	45 − 45** =	0	x 18.00	=	$0.00
Independent Claims	0 − 0** =	0	x 80.00	=	$0.00
Multiple Dependent				=	

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	260	2203	145	Multiple Dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) 0.00

**or number previously paid, if greater; For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for *ex parte* reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) ($)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Jordan M. Becker	Registration No. (Attorney/Agent)	39,602	Telephone	(408) 720-8300
Signature				Date	07/29/04

Based on PTO/SB/17 (10-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 02/10/2004.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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FACSIMILE COVER SHEET

Deliver to: Lewis, Michael A., USPTO
Art Group: 2655
Facsimile No.: 703-872-9306
Date: July 29, 2004
From: Jordan M. Becker, Reg. No. 39,602
Our Docket No.: 3932P023
Number of pages 19 including this sheet.
Application No.: 09/813,711
Filing Date: 3/20/2001
Docket Due Date(s): 10/19/2004

Enclosed are the following documents:

- ☒ Amendment: After Final (16 pgs)
- ☐ Appeal Brief (in triplicate) (___ pgs)
- ☐ Application: _____ (___ pgs) w/cover & abstract)
- ☐ Assignment & Cover Sheet (___ pgs)
- ☒ Certificate of Facsimile
- ☐ Continued Prosecution Application (CPA)
- ☐ Declaration & POA (___ pgs)
- ☐ Drawings: ___ sheets, ___ figures
- ☐ Extension of Time: _____
- ☒ Fee Transmittal (in duplicate)
- ☐ IDS & PTO/SB/08 (pgs)
- ☐ Other _____
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☐ Petition for: _____
- ☐ Request for Continued Examination (RCE)
- ☐ Reply Brief (___ pgs)
- ☐ Request & Certification Under 35 USC 122(b)(2)(B)(i)
- ☐ Request to Rescind Previous Nonpublication Request
- ☐ Response to Notice of Missing Parts & Formalities Letter
- ☐ Response to Written Opinion (___ pgs)
- ☐ Terminal Disclaimer
- ☐ Transmittal of Publication Fee Due
- ☐ Transmittal Letter

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)

I hereby certify that this correspondence is being transmitted by facsimile on the date shown below to the United States Patent and Trademark Office.

Julie Arango 07/29/2004
Julie Arango *Date*

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